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|   |   |   |                 |
|---|---|---|-----------------|
| <b>UTILITY<br/>PATENT APPLICATION<br/>TRANSMITTAL</b><br><small>(Only for new nonprovisional applications under 37 CFR 1.53(b))</small>   |   | <b>Attorney Docket No.</b> SHP026.6<br><br><b>First Inventor</b> FERGUSON, F. Mark<br><br><b>Title</b> Safety Shield for Medical Needles<br><br><b>Express Mail Label No.</b> EV068780582US |                 |
| <b>APPLICATION ELEMENTS</b><br><small>See MPEP chapter 600 concerning utility patent application contents.</small>  |   | <b>ADDRESS TO:</b><br>Mail Stop Patent Application<br>Commissioner for Patents<br>P.O. Box 1450<br>Alexandria VA 22313-1450   |                 |
| 1. <input checked="" type="checkbox"/> Fee Transmittal Form (e.g., PTO/SB/17)<br><small>(Submit an original and a duplicate for fee processing)</small><br>2. <input checked="" type="checkbox"/> Applicant claims small entity status.<br><small>See 37 CFR 1.27.</small><br>3. <input checked="" type="checkbox"/> Specification [Total Pages 31]<br><small>(preferred arrangement set forth below)</small> <ul style="list-style-type: none"> <li>- Descriptive title of the invention</li> <li>- Cross Reference to Related Applications</li> <li>- Statement Regarding Fed sponsored R &amp; D</li> <li>- Reference to sequence listing, a table, or a computer program listing appendix</li> <li>- Background of the Invention</li> <li>- Brief Summary of the Invention</li> <li>- Brief Description of the Drawings (if filed)</li> <li>- Detailed Description</li> <li>- Claim(s)</li> <li>- Abstract of the Disclosure</li> </ul> 4. <input checked="" type="checkbox"/> Drawing(s) (35 U.S.C. 113) [Total Sheets 55] |   |   |                 |
| 5. Oath or Declaration [Total Sheets 5] <ul style="list-style-type: none"> <li>a. <input checked="" type="checkbox"/> Newly executed (original or copy)</li> <li>b. <input type="checkbox"/> Copy from a prior application (37 CFR 1.63(d))<br/> <small>(for continuation/divisional with Box 18 completed)</small> <ul style="list-style-type: none"> <li>i. <input type="checkbox"/> <b>DELETION OF INVENTOR(S)</b><br/> <small>Signed statement attached deleting inventor(s) name in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).</small></li> </ul> </li> <li>6. <input type="checkbox"/> Application Data Sheet. See 37 CFR 1.76</li> </ul>  |   |   |                 |
| 7. <input type="checkbox"/> CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)   |   |   |                 |
| 8. Nucleotide and/or Amino Acid Sequence Submission<br><small>(if applicable, all necessary)</small> <ul style="list-style-type: none"> <li>a. <input type="checkbox"/> Computer Readable Form (CRF)</li> <li>b. <input type="checkbox"/> Specification Sequence Listing on:               <ul style="list-style-type: none"> <li>i. <input type="checkbox"/> CD-ROM or CD-R (2 copies); or</li> <li>ii. <input type="checkbox"/> Paper</li> </ul> </li> <li>c. <input type="checkbox"/> Statements verifying identity of above copies</li> </ul>   |   |   |                 |
| <b>ACCOMPANYING APPLICATION PARTS</b>   |   |   |                 |
| 9. <input checked="" type="checkbox"/> Assignment Papers (cover sheet & document(s))<br>10. <input type="checkbox"/> 37 CFR 3.73(b) Statement <input checked="" type="checkbox"/> Power of (when there is an assignee) <input checked="" type="checkbox"/> Attorney<br>11. <input type="checkbox"/> English Translation Document (if applicable)<br>12. <input type="checkbox"/> Information Disclosure Statement (IDS)/PTO-1449 <input type="checkbox"/> Copies of IDS Citations<br>13. <input type="checkbox"/> Preliminary Amendment<br>14. <input checked="" type="checkbox"/> Return Receipt Postcard (MPEP 503)<br><small>(Should be specifically itemized)</small><br>15. <input type="checkbox"/> Certified Copy of Priority Document(s)<br><small>(if foreign priority is claimed)</small><br>16. <input type="checkbox"/> Nonpublication Request under 35 U.S.C. 122 (b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent.<br>17. <input type="checkbox"/> Other: .....                               |   |   |                 |
| 18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in the first sentence of the specification following the title, or in an Application Data Sheet under 37 CFR 1.76:<br><input type="checkbox"/> Continuation <input type="checkbox"/> Divisional <input checked="" type="checkbox"/> Continuation-in-part (CIP)      of prior application No.: 10/409,819.....<br>Prior application information: Examiner Cris Loiren Rodriguez Art Unit: 3763<br>For CONTINUATION OF DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference.<br>The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.   |   |   |                 |
| <b>19. CORRESPONDENCE ADDRESS</b>   |   |   |                 |
| <input checked="" type="checkbox"/> Customer Number: 26,152 <input type="checkbox"/> OR <input type="checkbox"/> Correspondence address below   |   |   |                 |
| Name  |   |   |                 |
| Address   |   |   |                 |
| City  | State   | Zip Code  |                 |
| Country   | Telephone   | Fax   |                 |
| Name (Print/Type)   | Paul S. Evans   | Registration No. (Attorney/Agent)   | 36,130          |
| Signature   |  |   | Date 09/11/2003 |

This collection of information is required by 37 CFR 1.53(b). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop Patent Application, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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# FEE TRANSMITTAL for FY 2003

Effective 01/01/2003. Patent fees are subject to annual revision.

 Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$ 793.00)

## Complete if Known

|                      |                    |
|----------------------|--------------------|
| Application Number   |                    |
| Filing Date          | September 11, 2003 |
| First Named Inventor | FERGUSON, F. Mark  |
| Examiner Name        |                    |
| Art Unit             |                    |
| Attorney Docket No.  | SHP026.6           |

## METHOD OF PAYMENT (check all that apply)

 Check  Credit card  Money Order  Other  None Deposit Account:Deposit Account Number  
Deposit Account Name

19-3542

Specialized Health Products, Inc.

The Director is authorized to: (check all that apply)

- Charge fee(s) indicated below  Credit any overpayments  
 Charge any additional fee(s) during the pendency of this application  
 Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.

## FEE CALCULATION (continued)

## 3. ADDITIONAL FEES

Large Entity Small Entity

| Fee Code (\$) | Fee (\$) | Fee Code (\$) | Fee (\$) | Fee Description  | Fee Paid |
|---------------|----------|---------------|----------|--|----------|
| 1051          | 130      | 2051          | 65       | Surcharge - late filing fee or oath  |          |
| 1052          | 50       | 2052          | 25       | Surcharge - late provisional filing fee or cover sheet                     |          |
| 1053          | 130      | 1053          | 130      | Non-English specification  |          |
| 1812          | 2,520    | 1812          | 2,520    | For filing a request for ex parte reexamination                            |          |
| 1804          | 920*     | 1804          | 920*     | Requesting publication of SIR prior to Examiner action                     |          |
| 1805          | 1,840*   | 1805          | 1,840*   | Requesting publication of SIR after Examiner action                        |          |
| 1251          | 110      | 2251          | 55       | Extension for reply within first month                                     |          |
| 1252          | 410      | 2252          | 205      | Extension for reply within second month                                    |          |
| 1253          | 930      | 2253          | 465      | Extension for reply within third month                                     |          |
| 1254          | 1,450    | 2254          | 725      | Extension for reply within fourth month                                    |          |
| 1255          | 1,970    | 2255          | 985      | Extension for reply within fifth month                                     |          |
| 1401          | 320      | 2401          | 160      | Notice of Appeal   |          |
| 1402          | 320      | 2402          | 160      | Filing a brief in support of an appeal                                     |          |
| 1403          | 280      | 2403          | 140      | Request for oral hearing   |          |
| 1451          | 1,510    | 1451          | 1,510    | Petition to institute a public use proceeding                              |          |
| 1452          | 110      | 2452          | 55       | Petition to revive - unavoidable   |          |
| 1453          | 1,300    | 2453          | 650      | Petition to revive - unintentional   |          |
| 1501          | 1,300    | 2501          | 650      | Utility issue fee (or reissue)   |          |
| 1502          | 470      | 2502          | 235      | Design issue fee   |          |
| 1503          | 630      | 2503          | 315      | Plant issue fee  |          |
| 1460          | 130      | 1460          | 130      | Petitions to the Commissioner  |          |
| 1807          | 50       | 1807          | 50       | Processing fee under 37 CFR 1.17(q)  |          |
| 1806          | 180      | 1806          | 180      | Submission of Information Disclosure Stmt                                  |          |
| 8021          | 40       | 8021          | 40       | Recording each patent assignment per property (times number of properties) | 40       |
| 1809          | 750      | 2809          | 375      | Filing a submission after final rejection (37 CFR 1.129(a))                |          |
| 1810          | 750      | 2810          | 375      | For each additional invention to be examined (37 CFR 1.129(b))             |          |
| 1801          | 750      | 2801          | 375      | Request for Continued Examination (RCE)                                    |          |
| 1802          | 900      | 1802          | 900      | Request for expedited examination of a design application                  |          |

SUBTOTAL (1) (\$ 375)

| Total Claims | Extra Claims | Fee from below | Fee Paid |
|--------------|--------------|----------------|----------|
| 48           | -20** =      | 28 X 9 =       | 252      |
| 6            | -3** =       | 3 X 42 =       | 126      |
|              |              |                |          |

| Large Entity        | Small Entity      | Fee Description  |
|---------------------|-------------------|--|
| Fee . Fee Code (\$) | Fee Fee Code (\$) |  |
| 1202 18             | 2202 9            | Claims in excess of 20                                     |
| 1201 84             | 2201 42           | Independent claims in excess of 3                          |
| 1203 280            | 2203 140          | Multiple dependent claim, if not paid                      |
| 1204 84             | 2204 42           | ** Reissue independent claims over original patent         |
| 1205 18             | 2205 9            | ** Reissue claims in excess of 20 and over original patent |

SUBTOTAL (2) (\$ 378)

\*or number previously paid, if greater; For Reissues, see above

Other fee (specify)

\*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$ 40)

| (Complete if applicable) |   |                                   |              |
|--------------------------|---|-----------------------------------|--------------|
| Name (Print/Type)        | Paul S. Evans   | Registration No. (Attorney/Agent) | 36,130       |
| Signature                |  | Telephone                         | 801-298-3360 |

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

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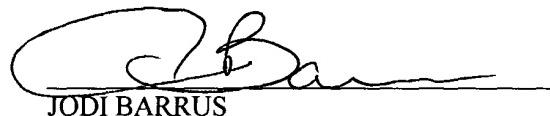
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Express Mail No. EV068780582US

PATENT APPLICATION  
Docket No: SHP026.6

CERTIFICATE OF MAILING BY EXPRESS MAIL

I hereby certify that the enclosed complete patent application consisting of 31 pages of specification, 55 sheets of drawings, and Check No. 9818 for \$793.00 in the matter of the Patent Application of Specialized Health Products, Inc. for SAFETY SHIELD FOR MEDICAL NEEDLES, a Combined Declaration and Power of Attorney, as well as an Assignment with form PTO-1595, is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. § 1.10 on the date indicated below in an envelope addressed to MS Patent Applications, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.



JODI BARRUS

EV068780582US  
EXPRESS MAIL LABEL NUMBER

September 11, 2003  
DATE OF DEPOSIT